



A Systems Approach to Predicting Healthcare Failures

San Diego State University	GCorp Health Solutions
<p>Melody Schiaffino, PhD Assistant Professor, Public Health mschiaffino@mail.sdsu.edu</p> <ul style="list-style-type: none">• PhD, Health Services Research, University of Florida• MPH, Epidemiology, University of South Florida• BA, Studies, University of Missouri-Columbia	<p>John Wood, PhD Director of Systems Engineering john.wood@gcorp.info</p> <ul style="list-style-type: none">• PhD, Systems Engineering, George Washington University• MS, Systems Engineering, George Washington University• BS, Electrical Engineering, United States Naval Academy
<p>Atsushi Nara, PhD Assistant Professor, Geography anara@mail.sdsu.edu</p> <ul style="list-style-type: none">• PhD, Geographic Information Science, Arizona State University• MS, Geographic Information Science, University of Utah• BS, Environmental Engineering, Shimane University	<p>Thom Walsh, PhD Principal Healthcare Analyst thom.walsh@gcorp.info</p> <ul style="list-style-type: none">• PhD, Health Policy, Dartmouth College• MS, Evaluative Clinical Science, Dartmouth College• MS & PT, Orthopedic Physical Therapy & Exercise Physiology, D'Youville College



Bottom Line Up Front

- Strong communications prevent medical errors
- Healthcare stakeholders and their interactions form the foundational communication system
 - IT “solutions” only effective in concert with strong interpersonal communications
- Capturing and characterizing the system is now possible via Geographic Information Systems and Social Network Analysis
- This approach is expected to reveal **system** conditions which lead to medical errors



- Breakdowns in communication are responsible for two-thirds of preventable medical errors
- Clinical and administrative responses have been incremental (i.e., not systematic)
- Electronic health records and other IT tools have helped, but...
- **Technology is most effective in concert with strong, systematic person-to-person communication at and among all levels**



Myriad of stakeholders, fragmented encounters

Today's care includes:

- Patients
- Clinicians
- Nurses
- Allied health staff
- Administrative staff
- Executive leadership
- Additional support staff

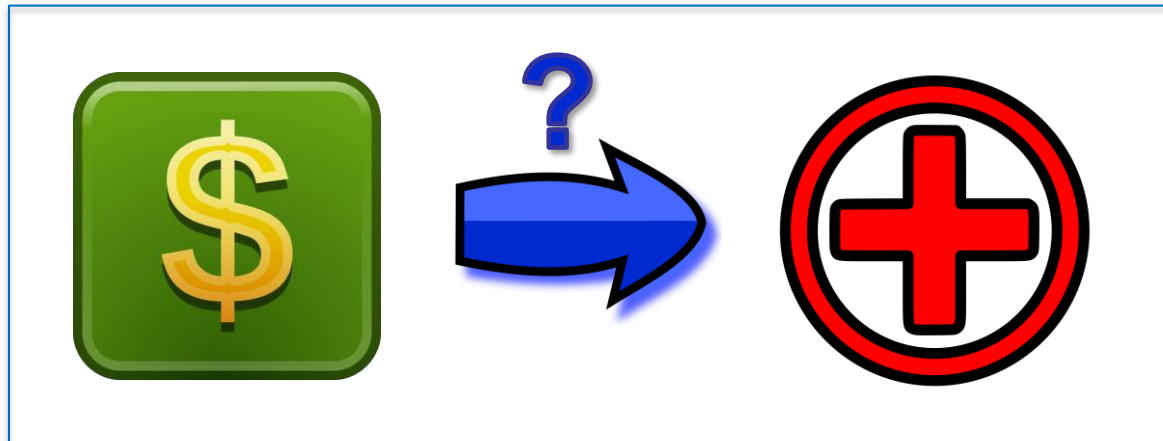




Why a systems approach?

The quality conundrum

- Increases in healthcare spending do not lead to proportional increases in health
- Non-linearity suggests healthcare is a complex adaptive system

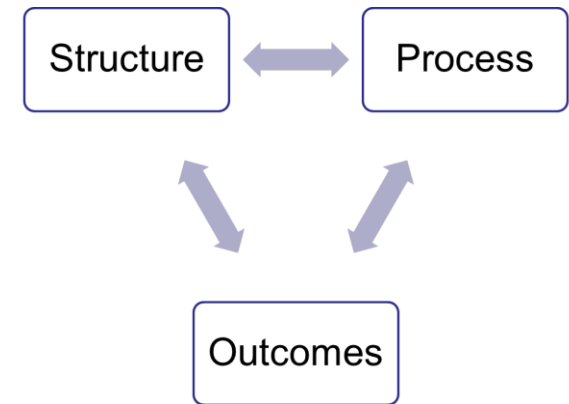




Non-linear perspectives

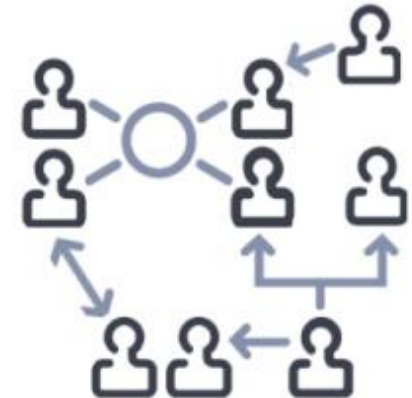
Donabedian (1966)

- Assess healthcare quality at multiple levels: structure, process, and outcome



Wood et al. (2013)

- Characterize stakeholders and their interrelations as a system





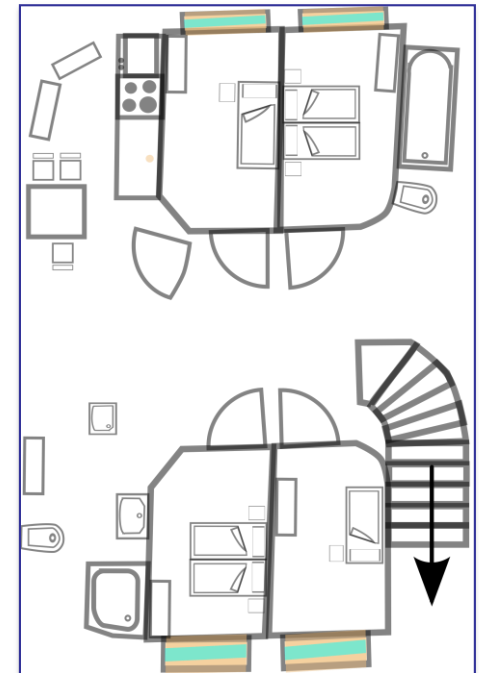
Proposed research approach

- Examine quality of care at the process level
- Focus on stakeholder interactions in context
- Employ a systems perspective
 - Stakeholders = Components
 - Interactions = Interfaces
- Capture the dynamic structure of the system
- Characterize system strengths and weaknesses
- Seek to identify disruptions in communication



Role of Geographic Information Systems

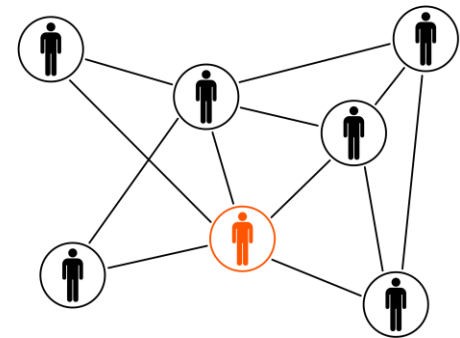
- Evaluating interactions in a busy (sometimes chaotic) healthcare setting is challenging
- Surveys are common, but flawed
 - Interrupt active care
 - Challenging to scale
- Location-aware devices now capable of high sampling frequency and accuracy



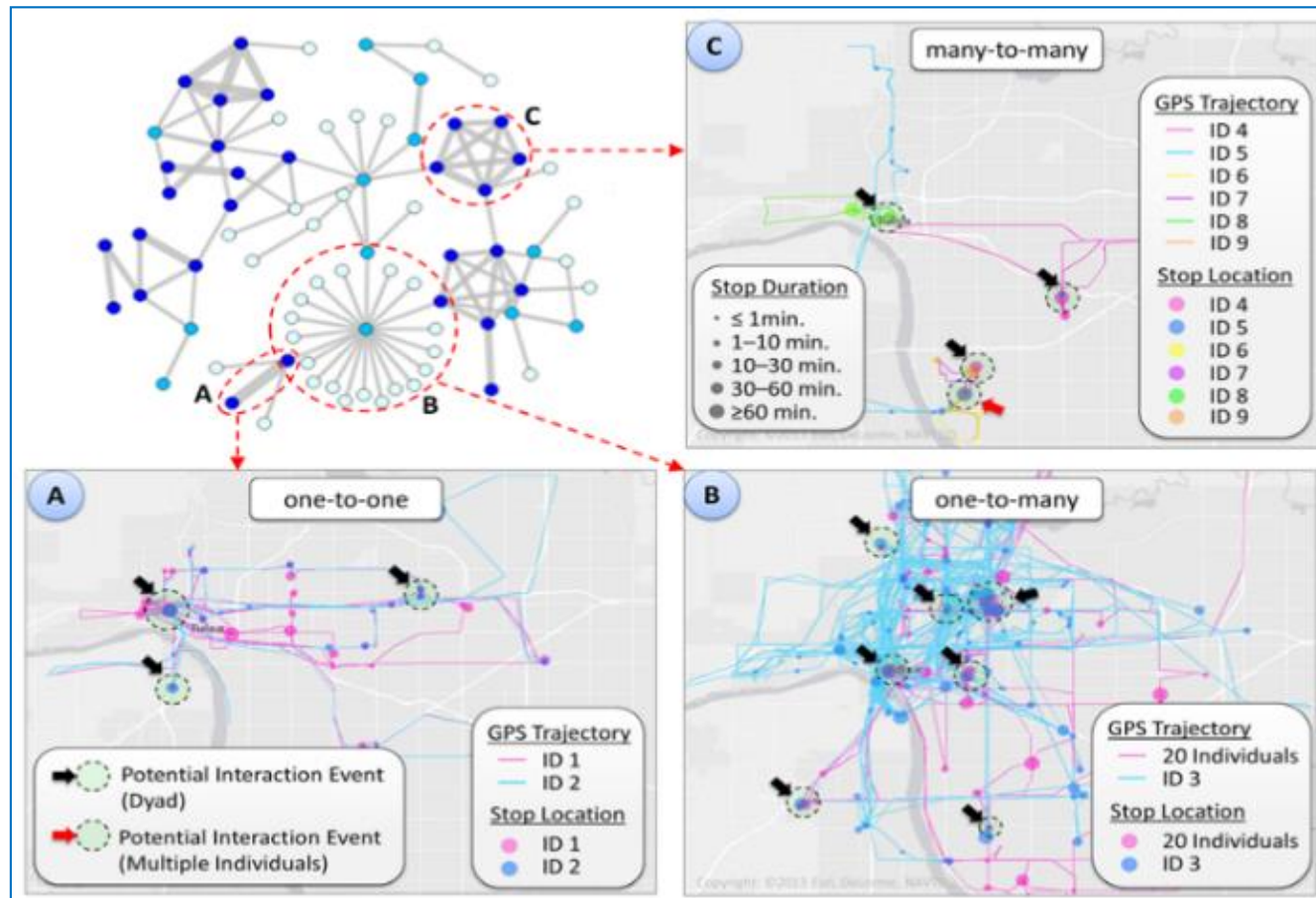


Role of Social Network Analysis

- Stakeholders are interconnected and maintain differing levels of interaction which may promote or inhibit communication
- Social Network Analysis provides quantitative measures, including:
 - Density
 - Centrality
 - Degree of connection
 - Reciprocity
 - Transitivity



Example output



Adapted from: Yuan, M., Nara, A., & Bothwell, J. (2014)



Research goals and benefits

- Ability to identify:
 - High-performing system characteristics
 - Low-performing system characteristics
 - Disruptions to system behavior
- Improve care via:
 - Informed care delivery design/re-design
 - Automated communication disruption alerts
 - Predictive qualities
 - Patient zero capacity



Time for Q&A...





Recap

- Strong communications prevent medical errors
- Healthcare stakeholders and their interactions form the foundational communication system
 - IT “solutions” only effective in concert with strong interpersonal communications
- Capturing and characterizing the system is now possible via Geographic Information Systems and Social Network Analysis
- This approach is expected to reveal **system** conditions which lead to medical errors



Thank you!



www.sdsu.edu



www.gcorphs.info



Backup slides



Conceptual data capture framework

STRUCTURAL

Context

Hospital Characteristics and Existing (Social) Networks



Patterns of Change

Non-Complex: Disorganization, linear, equilibrium, periodic orbits

Complex: Cascading, tipping points, phase transitions, path dependence, emergent structures

PROCESS

Complexity

- *Diversity:* Actors in the healthcare delivery process
- *Connectedness:* Closeness of actors (location)
- *Interdependence:* Task, role, interactivity, how actors respond to each other
- *Learning:* Adaptation or new structure



OUTCOME

Intended

- Health Services
- Network Flows
- Health Status

Unintended

- Distorted Service
- Incidents
- Unsustainability

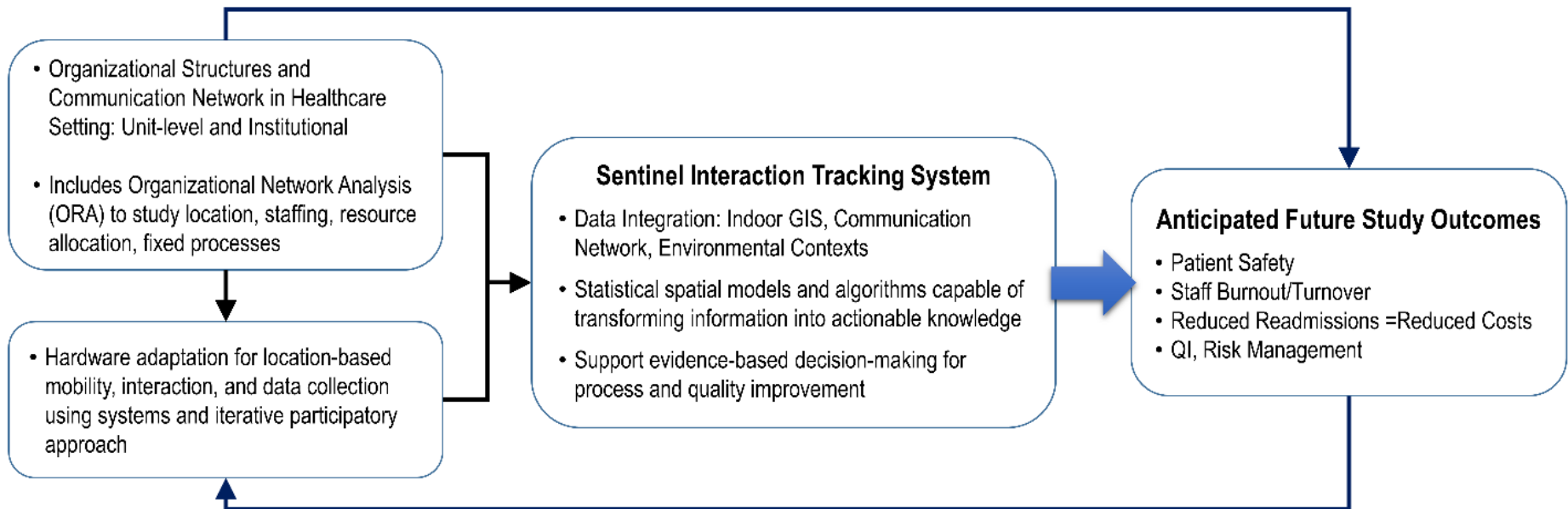


External Environmental Factors

- Hospital Environment
- Actor Characteristics (Age, Gender, Seniority)



Interaction tracking system framework





References (1 of 2)

- Anderson, C., & Talsma, A. (2011). Characterizing the structure of operating room staffing using social network analysis. *Nurs Res*, 60(6), 378-385. doi:10.1097/NNR.0b013e3182337d97
- Auer, C., Schwendimann, R., Koch, R., De Geest, S., & Ausserhofer, D. (2014). How hospital leaders contribute to patient safety through the development of trust. *J Nurs Adm*, 44(1), 23-29. doi:10.1097/nna.0000000000000017
- Berwick, D. M., Nolan, T. W., & Whittington, J. (2008). The triple aim: care, health, and cost. *Health Affairs*, 27(3), 759-769.
- Chang, C. W., Huang, H. C., Chiang, C. Y., Hsu, C. P., & Chang, C. C. (2012). Social capital and knowledge sharing: effects on patient safety. *J Adv Nurs*, 68(8), 1793-1803. doi:10.1111/j.1365-2648.2011.05871.x
- Clark, R. C., & Greenawald, M. (2013). Nurse-physician leadership: insights into interprofessional collaboration. *J Nurs Adm*, 43(12), 653-659. doi:10.1097/nna.0000000000000007
- Donabedian, A. (1966). Evaluating the quality of medical care. *The Milbank memorial fund quarterly*, 44(3), 166-206.
- Effken, J. A., Gephart, S. M., Brewer, B. B., & Carley, K. M. (2013). Using *ORA, a network analysis tool, to assess the relationship of handoffs to quality and safety outcomes. *Comput Inform Nurs*, 31(1), 36-44. doi:10.1097/NXN.0b013e3182701082
- Hornbeck, T., Naylor, D., Segre, A. M., Thomas, G., Herman, T., & Polgreen, P. M. (2012). Using sensor networks to study the effect of peripatetic healthcare workers on the spread of hospital-associated infections. *J Infect Dis*, 206(10), 1549-1557. doi:10.1093/infdis/jis542
- Hossain, L., & Kit Guan, D. C. (2012). Modelling coordination in hospital emergency departments through social network analysis. *Disasters*, 36(2), 338-364. doi:10.1111/j.0361-3666.2010.01260.x
- Leufven, M., Vitrakoti, R., Bergstrom, A., Ashish, K. C., & Malqvist, M. (2015). Dimensions of Learning Organizations Questionnaire (DLOQ) in a low-resource health care setting in Nepal. *Health Res Policy Syst*, 13, 6. doi:10.1186/1478-4505-13-6
- Lurie, S. J., Fogg, T. T., & Dozier, A. M. (2009). Social network analysis as a method of assessing institutional culture: three case studies. *Acad Med*, 84(8), 1029-1035. doi:10.1097/ACM.0b013e3181ad16d3



References (2 of 2)

- Moss, J., & Elias, B. (2010). Information Networks in Intensive Care: A Network Analysis of Information Exchange Patterns. *AMIA Annual Symposium Proceedings*, 2010, 522-526. Retrieved from <http://www.ncbi.nlm.nih.gov/pmc/articles/PMC3041290>
- Nara, A., Izumi, K., Iseki, H., Suzuki, T., Nambu, K., & Sakurai, Y. (2011). Surgical workflow monitoring based on trajectory data mining. In *New Frontiers in Artificial Intelligence* (pp. 283-291). Springer Berlin Heidelberg.
- Palazzolo, M., Grippa, F., Booth, A., Rechner, S., Bucuvalas, J., & Gloor, P. (2011). Measuring Social Network Structure of Clinical Teams Caring for Patients with Complex Conditions. *Procedia - Social and Behavioral Sciences*, 26, 17-29. doi:<http://dx.doi.org/10.1016/j.sbspro.2011.10.558>
- Starmer, A. J., & Landrigan, C. P. (2015). Changes in medical errors with a handoff program. *N Engl J Med*, 372(5), 490-491. doi:10.1056/NEJMc1414788
- Valente, T. W., Palinkas, L. A., Czaja, S., Chu, K. H., & Brown, C. H. (2015). Social network analysis for program implementation. *PLoS One*, 10(6), e0131712. doi:10.1371/journal.pone.0131712
- Wood, J., Sarkani, S., Mazzuchi, T. & Eveleigh, T. (2013). A framework for capturing the hidden stakeholder system. *Syst. Engin.*, 16: 251–266. doi: 10.1002/sys.21224
- Yousefi-Nooraie, R., Dobbins, M., Brouwers, M., & Wakefield, P. (2012). Information seeking for making evidence-informed decisions: a social network analysis on the staff of a public health department in Canada. *BMC Health Serv Res*, 12, 118. doi:10.1186/1472-6963-12-118
- Yuan, M., Nara, A., & Bothwell, J. (2014). Space–time representation and analytics. *Annals of GIS*, 20(1), 1–9.
- Yuan, M., & Nara, A. (2015). Space-Time Analytics of Tracks for the Understanding of Patterns of Life. In M.-P. Kwan, D. Richardson, D. Wang, & C. Zhou (Eds.), *Space-Time Integration in Geography and GIScience* (pp. 373-398): Springer Netherlands.
- Zimlichman, E., Henderson, D., Tamir, O., Franz, C., Song, P., Yamin, C. K., . . . Bates, D. W. (2013). Health care-associated infections: a meta-analysis of costs and financial impact on the US health care system. *JAMA Intern Med*, 173(22), 2039-2046. doi:10.1001/jamainternmed.2013.9763